

APG ANNUAL BUSINESS PLAN



**Asia/Pacific Group
on Money Laundering**

2013 - 2014

APG Annual Business Plan 2013 - 2014

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APG Secretariat
Locked Bag A3000
Sydney South
New South Wales 1232
AUSTRALIA

Tel: +61 2 9277 0600
E Mail: mail@apgml.org
Web: www.apgml.org

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APG ANNUAL BUSINESS PLAN

1 JULY 2013 TO 30 JUNE 2014

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INTRODUCTION

1. The APG is a multi-lateral organisation formed in 1997 and consists of 41 members. The strategic mission of the APG is to combat money laundering and the financing of terrorism and proliferation in the Asia/Pacific region through:
 - (1) effective implementation of the international anti-money laundering and countering the financing of terrorism (AML/CFT) standards by APG members; and
 - (2) effective participation in the international AML/CFT standard-setting process.
2. To achieve this mission, the APG adopts Annual Business Plans pursuant to the *APG Strategic Plan 2012–2016*. Execution of performance targets stated in these annual plans assists the membership to measure success in achieving the broader strategic objectives of the APG.
3. This Business Plan outlines the major tasks and performance targets for the period 1 July 2013 to 30 June 2014 consistent with the APG's strategic mission.
4. This Business Plan and the *Budget Paper 2013–14* (the financial basis of this plan) are the APG's core operating documents for 2013–14.

PERFORMANCE TARGETS/MEASURES

1. A number of tasks including major APG events and projects have common performance targets. As in previous years, a project-management approach is taken to plan, execute and follow-up each major tasks or event (**Annex A**).

RESOURCES

Financial

2. As stated in the APG Budget Paper for 2013–14, the resources required to achieve the tasks in this Business Plan extend beyond members' core contributions to include additional financial resources, as well as human and technical resources. For instance, many technical assistance missions and visits to members rely on voluntary funding and on participation by individual member experts.

Secretariat staff

3. The current APG Secretariat personnel resources include 11 full-time staff members, one (1) part-time staff member, and one (1) part-time secondee from the Australian Federal Police (13 in total). While these resources are sufficient to meet the tasks outlined in this Business Plan for FY 2013–14 it will be difficult to meet the additional burdens six (6) to seven (7) mutual evaluations per year commencing after the next reporting period and continuing until the close of the current mandate.

4. The Secretariat continues to review the level of staff resources in order to meet operational activities of the APG. As work demands increase (in part resulting from a delay in the commencement of the 3rd round mutual evaluation programme as noted immediately above) the membership will be informed of the need for additional resources to meet these burdens.

KEY TASKS FOR 2013-14

5. The APG's key tasks for 2013–14 include the following (some of which are dependent on external funding) are as follows:

Governance/Meetings

- The 17th APG Annual Meeting and Annual Forum on Technical Assistance & Training will be held in mid-July 2014 in Macao, China.
- The APG Typologies Workshop and Capacity Building Seminars will be jointly held with the Eurasian Group (EAG) in Mongolia (September 2013).
- The Steering Group will meet on a regular basis and will continue to provide on-going advice to the membership and Co-Chairs.
- An APG Donors and Providers (DAP) Group meeting, one (1) Pacific/APG coordination meeting (APG/Pacific Islands Forum Secretariat), and one (1) ASEAN/APG coordination meeting (APG and ASEAN Secretariats) will be held during the year.
- Up to five (5) membership-related missions will be undertaken to members and non-members to facilitate full and active membership and promote commitment to the global AML/CFT standards. Additional missions will be undertaken with external donor funding.

Participation in global AML/CFT network & international cooperation

- The APG will contribute to training on the new FATF standards and assessment methodology as part of the implementation of the new FATF 40 Recommendations 2012, and to the review of the FATF rules relating to mutual evaluation follow-up and the ICRG as a consequence of the new standards.

- As an Associate Member of the FATF, the APG will continue to participate in three (3) FATF plenary meetings, one (1) FATF inter-sessional meeting, typologies, working group meetings, meetings of the Asia-Pacific Regional Review (RRG) Group, and up to three (3) inter-sessional meetings of the RRG. Members affected will be advised of outcomes.
- Information from FATF and other AML/CFT bodies will be communicated to members when received through email, APG website and/or individual correspondence/communication.
- The APG will provide priority assistance and support for APG members involved in the FATF's ICRG process, including participation at Asia-Pacific RRG meetings, ICRG meetings, information briefings, supply of information and interpretative materials, implementation assistance and coordination of TA&T.
- APG will conduct up fifteen (15) ICRG-related assistance missions to APG members under ICRG review. These may be in conjunction with other visits.
- APG Secretariat will provide communications, information and liaison assistance to the Asia-Pacific RRG Group in further support of APG members in accordance with the *APG Strategic Plan 2012-2016*.

Evaluating members' compliance with international standards

- APG will:
 - a. Prepare for the 3rd round of mutual evaluations, including assessor and pre-mutual evaluation training; complete a revised mutual evaluation schedule; and establish of a Mutual Evaluation Working Group as a successor to the informal 'Ad Hoc Working Group on FATF standards'.
 - b. Develop and deliver one (1) assessor training workshop under the new FATF standards in preparation for the APG's 3rd round of evaluations.
 - c. Develop and deliver one (1) regional workshop in late 2013 for APG members to be assessed in 2013-14 followed by pre-mutual evaluation visits to two (2) APG members.
 - d. Commence three (3) mutual evaluations (Australia¹, Malaysia and Sri Lanka) including on-site visits in May 2014 for Sri Lanka and Malaysia.
- APG may participate in one (1) FATF evaluation (Spain or Norway) in April/May 2014 at FATF's request.
- The APG will also continue to implement the 2nd round mutual evaluation follow-up procedures, including preparation of detailed analyses of progress by selected members for 2014 Annual Meeting.

Typologies Research

- APG will continue to support the typologies business framework, organise and convene the Typologies Working Group and closely collaborate with the FATF Typologies Working Group including supporting the FATF Typologies Working Group, contributing to FATF projects and participating in FATF activities directly relevant to APG business.
- APG will collaborate closely with the EAG Typologies WG.
- The APG Typologies WG will pursue a number of priority projects as recommended by the APG Typologies Working Group in July 2013 and started during 2012-2013.
- The 2013 joint APG/EAG Typologies Workshop will be held in September 2013, hosted by Mongolia. The workshop will focus on a range of ML and TF methods. The agenda will be confirmed by the APG Working Group during July 2013 and the sessions confirmed prior to the workshop.

¹ While work will commence in 2013-14, the date of Australia's on-site is August/September 2014 (2014-15).

- The APG Typologies Working Group will prepare in-depth studies and regional overviews of typologies for adoption and publication by the APG plenary in July 2014.
- The APG will continue to support regional training initiatives, led by donors and providers, by building upon relevant typologies work.

Implementation assistance – guidance, advice, technical assistance & training

- APG will conduct one (1) workshop on the new FATF standards and assessment methodology in Seoul, Korea, and participate in an IMF workshop on the same topics in Singapore.
- APG will conduct one workshop for NPOs (private sector) on the risks of TF in the NPO sector as part of the APG's mission to engage directly with the private sector, and as an outcome of the UN's global NPO project (completed March 2013).
- APG Implementation Issues Working Group (IIWG) will assist members to develop sustainable strategies to meet mutual evaluation report recommendations.
- IIWG will finalise the amendments to the SIP Framework for consistency with the revised FATF standards and new assessment methodology.
- The IIWG will work on a project to identify and document implementation lessons from members' experience in prosecuting and sanctioning the ML offence.
- IIWG will continue to develop an implementation resource library reflecting APG members' implementation experiences.
- A number of regional AML/CFT TA&T projects will be considered in collaboration with DAP Group members for legal, financial, FIU and law enforcement sectors.
- Secretariat will support existing mechanisms with the Pacific Island Forum Secretariat and the ASEAN Secretariat, and will continue efforts to establish greater cooperation with a South Asian body, e.g., South Asian Association for Regional Cooperation.
- The APG Secretariat will collaborate with DAP Group members and recipient member jurisdictions to develop, coordinate and deliver AML/CFT-specific programmes, including:
 - Regional programmes to build AML/CFT capacity amongst AML/CFT supervisors and regulators;
 - Regional enforcement and prosecution capacity building programmes focusing on targeting policy responses to proceeds of crime, and
 - AML/CFT implementation programmes.



Asia/Pacific Group
on Money Laundering

**APG ANNUAL BUSINESS PLAN
1 JULY 2013 TO 30 JUNE 2014**

**TASKS AND PERFORMANCE TARGETS
AGAINST AGREED STRATEGIES
2013-14**

STRATEGIC PLAN GOAL 1

BE A COHESIVE AND EFFECTIVELY GOVERNED AUTONOMOUS MULTILATERAL ORGANISATION

Strategies	Tasks	Performance Targets
1(i) Support effective governance structures, including the Plenary of Members, APG Steering Group and Co-Chairs	<ul style="list-style-type: none"> Plan, coordinate and deliver the 2014 APG Annual Meeting for Plenary decisions and related 'in-session' governance activities Steering Group sub-regional groups to provide representatives and commit to attending Steering Group meetings and activities Steering Group to meet at least five times in the year (with additional meetings out-of session as may be required) Steering Group representatives to consult with their sub-regional members on governance, policy and operational issues as required Secretariat to support Steering Group under <i>APG Terms of Reference 2012</i>, Annex B Steering Group to provide written report to membership at 2014 Annual Meeting summarizing issue discussed and decisions made 	<ul style="list-style-type: none"> In conjunction with Macao, China as the host, organise the 2014 APG Annual Meeting for approximately 350 delegates, including arranging sponsorship for priority delegates At the 2014 Annual Meeting all sub-regional groups will elect one representative for a one-year term on the Steering Group (SG) Sub-regional SG representatives contribute to work of SG through participation in all meetings and consultation with sub-regions Secretariat provides administrative and advisory support and briefing documents to the SG as per section 6.3 and Annex A of the <i>APG Terms of Reference 2012</i> SG meets at least quarterly by teleconference (organised by Secretariat), or in other formats as agreed, including a face-to-face meeting in the margins of 2014 Annual Meeting SG, through the Co-Chairs, reports to the plenary at 2014 APG Annual Meeting on its work during the year and to members out of session

<p>1(ii) Participate in decision making and the conduct of APG business through committing adequate resources to APG plenary meetings, working groups and other APG events</p>	<ul style="list-style-type: none"> • APG member and observer contact points for each member and observer are identified and updated • APG delegates consult with relevant stakeholders (public and private sector if necessary) within their jurisdiction and contribute to the consideration of APG policy papers in plenary and out-of-session • APG members ensure adequate resources are available to allow their attendance at APG plenary meetings and to allow adequate consideration of, and input to, APG business out-of-session • APG members and, observers where requested, provide support /resources for APG working groups and priority APG events • Steering Group encourage participation from their sub-regions in APG activities 	<ul style="list-style-type: none"> • APG contact points are identified, and /or updated, quarterly and promptly communicated to the Secretariat • Individual APG members' contributions to APG governance structures reflect the requirement of each member • All APG members are represented by officials with decision-making authority at APG meetings • All APG members participate in APG decision-making • APG members and observers provide information, support and resources to APG Working Groups, including the Typologies Working Group, Working Group on Mutual Evaluations and Implementation Issues Working Group • APG members and observers provide information, support and resources to 2013 APG Typologies Workshop; standards workshops; mutual evaluation training workshops, 2014 APG Annual Meeting and other events • Steering Group sub-regional representatives participate in membership missions; workshops, governance activities and other meetings with members/observers including jurisdictions seeking membership or observer status
<p>1(iii) Support and resource the APG Secretariat to meet APG goals and ensure proper management of APG funds and assets</p>	<ul style="list-style-type: none"> • Members provide support and resources to APG Secretariat to sustain its operations and work programme • Members support APG operations through voluntary contributions, project support, expert advice or other resources in addition to budget contributions • Members contribute to Secretariat work through provision of specialist policy and technical advice 	<ul style="list-style-type: none"> • All members pay 2013/14 membership fees/subscriptions by 30 September 2013 or as soon as possible thereafter • Members provide project support and voluntary contributions for APG programmes including funds for specific activities such as visits to members, sponsored travel, and workshop costs • Secretariat implements project plans and funding bids to effectively manage individual member/observer voluntary contributions and seconded staff (if any)

	<ul style="list-style-type: none"> • Secretariat manage APG revenue and expenditure in accordance with agreed budget and legal obligations of host government, Australia • Secretariat facilitate internal and external communications in accordance with APG's Information and Communications Strategy 2010 	<ul style="list-style-type: none"> • Members to provide experts for APG missions and activities, including ICRG support, membership and outreach missions, SIP and awareness raising, and mutual evaluations (including follow-up) • Secretariat manages APG budget, 2013/14 in accordance with Australian law including host agency's governance framework and internal APG Secretariat policies within agreed timeframes. • APG Secretariat will cooperate with host agency in any audits or inquiries relating to expenditure of APG funds (in any form) • Communications across the membership and with observers and other external stakeholders is conducted through annual reporting, mid-year report, APG website, email communications, and information updates • APG website upgrade is completed by the end of 2013
<p>1(iv) Encourage jurisdictions in the region which are not already members of the FATF or an FSRB to become a member of the APG</p>	<ul style="list-style-type: none"> • Undertake up to five (5) outreach/membership missions to members and non-members • Reach out to non-members in Asia-Pacific region to raise awareness of AML/CFT standards, APG business and membership rules • Encourage prospective APG members to become observers and to participate actively in APG activities • Encourage observers eligible for membership to join APG as a member 	<ul style="list-style-type: none"> • Five (5) outreach/membership missions are conducted: <ul style="list-style-type: none"> ○ <u>3 missions to priority members</u> to encourage greater commitment to implement AML/CFT standards arising from deficiencies identified in mutual evaluation follow-up reports; and ○ <u>2 missions to non-members</u> seeking member or observer status (with intention to join as members) • Continue to engage with the Democratic People's Republic of Korea (DPRK), Kiribati, Tuvalu and Federated States of Micronesia (FSM) to raise awareness of the APG and the benefits and responsibilities of becoming an APG member

<p>1(v) Maintain effective communication and enhance relationships with stakeholders to improve their awareness of and participation in the APG</p>	<ul style="list-style-type: none"> • Visits to members to discuss priority APG issues with key stakeholders. • Contribute to conferences, seminars and training workshops involving members to promote the APG and expand awareness of AML/CFT issues • Members and observers to raise awareness of AML/CFT issues in national and regional forums • Engage with relevant AML/CFT bodies to enhance effective contribution to the APG • Identify opportunities to establish cooperative agreements with other strategically important organisations, such as the European Union and SAARC 	<ul style="list-style-type: none"> • Undertake visits to members to discuss priority APG issues including Co-chair issues, donor funding, provision of technical assistance, hosting regional events, Steering Group issues, mutual evaluation follow-up and so on • Attend AML/CFT conferences and training seminars, if funding available, with outcomes reported as soon as possible upon completion • Members encourage bilateral or multilateral engagement between members/observers and other non-participating jurisdictions/organisations to advance APG strategic objectives • Continue engagement with FATF, FSRBs, ADB, IMF, World Bank, UN CTED, UNODC, the Egmont Group and other similar bodies on conferences, seminars, workshops and strategically important regional initiatives • Identify effective contacts for EU, PICP, BIMSTEK, SAARC and other international organisations and establish communication by 30 June 2014 with a view to encouraging observer status
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STRATEGIC PLAN GOAL 2

PARTICIPATE IN THE GLOBAL AML/CFT NETWORK AND SUPPORT INTERNATIONAL COOPERATION ON AML/CFT

Strategies	Tasks	Performance Targets
2(i) Actively participate in international AML/CFT policy development and standard setting, including communicating members' implementation issues to the FATF and other international organisations	<ul style="list-style-type: none"> Comply with FATF Associate Membership criteria and individual members support the APG's participation in the FATF as an Associate Member Secretariat and APG delegates represent the APG at FATF plenaries, Typologies meetings, FATF working groups and heads of FSRB meetings Secretariat to encourage and assist APG members to contribute to FATF policy deliberations, typologies work, and other global dialogues on AML/CFT and proliferation financing Secretariat publishes and distributes AML/CFT standards and guidance material to all APG members 	<ul style="list-style-type: none"> APG members ensure effective Associate Member participation in FATF and effective compliance with Associate Member rules and procedures Effectively participate in FATF's Global Network Coordination Group including any peer review of Associate Member FSRBs if requested Secretariat staff and APG delegates attend three (3) FATF plenaries, Typologies, working groups and up to two (2) inter-sessional meetings and report on APG progress, activities and achievements at those events APG Working Group on Mutual Evaluations contributes to the FATF's policy development work on AML/CFT standards. Members of the WG actively participate in workshops on implementation of the revised FATF standards Provide outcome reports to APG members within four (4) weeks of FATF meetings or as information is made available Report APG's consolidated position and/or individual members' views to FATF for consideration in its policy development Secretariat informs members of FATF meetings/activities via APG website, Steering Group meetings and email communications
2(ii) Establish, maintain and enhance APG mechanisms for working with the FATF, FSRBs and other relevant regional and international organisations and assist	<ul style="list-style-type: none"> Secretariat provides liaison between members and FATF and facilitates input to and from FATF Secretariat provides policy, process, communications, liaison and awareness raising support to members under ICRG review 	<ul style="list-style-type: none"> Distribute FATF documents to APG members via APG secure website and/or email prior to FATF meetings Invite AML/CFT-related international/regional organisations (including FATF and FSRBs) to APG events, including the 2014 Annual Meeting, 2013 joint APG/EAG Typologies Workshop, SIP workshops, training seminars and other events

<p>in strengthening the global AML/CFT network</p>	<ul style="list-style-type: none"> • Secretariat provides documents and APG Working Group reports to FATF • Secretariat and APG delegates share regional experience with the FATF and with other relevant AML/CFT bodies • APG develops and supports mechanisms for joint work with the FATF, FSRBs and other relevant AML/CFT bodies • Secretariat attends one (1) FSRB plenary or typologies meeting and cooperate with other FSRBs • Secretariat and APG delegates identify opportunities for cooperative agreements with strategically important regional and global organisations 	<ul style="list-style-type: none"> • Secretariat identifies one (1) FSRB to undertake a joint-region project followed by report to members on outcomes • Pursue closer involvement of FSRBs and the Egmont Group in IIWG and Typologies WG events including planning for a possible joint workshop with an FSRB • Secretariat <u>identifies one (1) FSRB as strategically important</u> and attend a plenary or typologies meeting of that organisation • Identify effective contacts in regional and global organisations for on-going communication and cooperation by 30 June 2014
<p>2(iii) Support APG members' engagement with global AML/CFT compliance programs and processes, including the FATF International Cooperation Review Group (ICRG)</p>	<ul style="list-style-type: none"> • Provide assistance and advice to APG members regarding the FATF's ICRG • Provide APG input to FATF processes regarding the ICRG • Actively participate in FATF ICRG meetings and inter-sessional RRG meetings • Harmonize APG's technical assistance coordination function with the strategies to support members under ICRG review 	<ul style="list-style-type: none"> • Timely and accurate advice is provided to members under ICRG review including: <ul style="list-style-type: none"> ○ Advice on ICRG process, rules and practice to assist APG members in dealing with the ICRG process; ○ General comments on legislative, regulatory and administrative measures needed to address ICRG concerns; and ○ Impact of ICRG processes on APG membership status • APG provides policy input to FATF on ICRG processes and how to improve those processes (e.g., publication of consolidated ICRG rules of procedure)

		<ul style="list-style-type: none"> • APG Secretariat participates in: <ul style="list-style-type: none"> ○ three (3) ICRG meetings per year ○ three (3) Asia-Pacific Regional Review Group (RRG) meetings to support members under ICRG consideration • Secretariat provides information and liaison assistance to the Asia-Pacific RRG Group in support of APG members (as per APG Strategic Plan 2012-2016) • Secretariat provides support to members in ICRG process and to donors and providers of assistance via meetings, review papers, policy discussions, information resources and briefings • All ICRG materials and explanatory/interpretative material to support members' understanding of ICRG policies, procedures and processes is provided on a timely basis • Conduct up to fifteen (15) missions to members under or soon to come under ICRG review to: <ul style="list-style-type: none"> ○ Sensitize those members on the implications of the ICRG process ○ Raise awareness at political-level of FATF standards and the need for more effective AML/CFT implementation ○ Discuss effect of ICRG process on APG membership status • APG will participate in the FATF's Global Network Coordination Group in both policy development and operational issues as needed
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STRATEGIC PLAN GOAL 3

ASSESS AND IMPROVE MEMBERS' COMPLIANCE WITH THE INTERNATIONAL AML/CFT STANDARDS

Strategies	Tasks	Performance Targets
3(i) Conduct the APG's third round of mutual evaluations with the aim of assessing all members during the period of the APG's mandate 2012 – 2020	<ul style="list-style-type: none"> In coordination with other assessor bodies, plan and commence APG's 3rd round of mutual evaluations Members to ensure that identified experts attend required meetings to complete mutual evaluation reports in accordance with APG procedures 	<ul style="list-style-type: none"> Agree on an updated/revised mutual evaluation schedule in coordination with other assessor bodies for approval at 2014 Annual Meeting, which will include an average of six (6) to seven (7) evaluations per year Identify Mutual Evaluation Working Group (WG) Chair(s) and members for 2013-2014 Members to commit to the 3rd round mutual evaluation schedule Three (3) members (Australia, Malaysia and Sri Lanka) commence the mutual evaluation process in the second half of 2013 with on-site visits to Malaysia and Sri Lanka in May 2014 <p><i>Other performance targets may be deferred due to timing of commencement of 3rd round of mutual evaluations</i></p>
3(ii) Provide assessment training for APG evaluators and for APG members undergoing evaluation	<ul style="list-style-type: none"> Plan and deliver assessor training workshop in lead up to mutual evaluations planned for 2013-14 Conduct pre-mutual evaluation training for members undergoing assessment in 2013-14 Conduct technical, administrative and procedural briefings for officials from members undergoing a mutual evaluation in 2013-14 	<ul style="list-style-type: none"> Members to provide experts for assessor training workshop in October/November 2013 Conduct pre-mutual evaluation regional training in October /November 2013 for members commencing their mutual evaluation in 2013-14 Conduct <u>two (2) pre-mutual evaluation on-site visits</u> (Sri Lanka and Malaysia) in the first quarter of 2014 Secretariat will provide administrative, technical and other support for members to be evaluated on an as-needed basis (telephone/video conferences, etc.) prior to mutual evaluation on-site visits in 2014

	<ul style="list-style-type: none"> • Provide further information and support to officials from members undergoing a mutual evaluation to raise awareness of procedures 	<ul style="list-style-type: none"> • Provide mutual evaluation briefings for all members to be evaluated in 2014-2015 in the margins of the 2014 Annual Meeting
3(iii) Maintain APG mutual evaluation procedures which reflect best practice for AML/CFT assessments, including ensuring the quality and consistency of APG mutual evaluations	<ul style="list-style-type: none"> • Monitor FATF policy initiatives and changes/additions to mutual evaluation procedures, assessment methodology and pre-mutual evaluation training, and other procedures • Seek input from APG membership to incorporate FATF changes within APG procedures, if necessary • Support effective mechanisms to ensure consistency and quality of APG mutual evaluations 	<ul style="list-style-type: none"> • Secretariat and members monitor and participate in FATF initiatives on assessor training and pre-mutual evaluation training • Secretariat solicits and consolidates comments, and/or makes necessary policy recommendations, on procedural documents in FATF's 4th round discussions • 3rd round mutual evaluation procedures are amended as needed based on FATF policy changes and lessons learned in FY 2013/14 for adoption at 2014 Annual Meeting • Members and observers provide experts for APG quality control mechanisms and their effective participation in is encouraged. APG takes steps to include experts from observer organisations (including from other FSRBs, if available) • APG Secretariat and or APG member delegates to participate in the FATF's quality control mechanism (previously referred to as the Expert Review Group) in relation to joint APG/FATF members to ensure consistency with other non-FATF APG members
3(iv) Maintain and enhance mechanisms, including follow-up procedures and strategic implementation planning, to monitor action taken and improve compliance by evaluated APG members in response to	<ul style="list-style-type: none"> • Implement APG ME follow-up procedures to monitor compliance efforts by members against global AML/CFT standards • Secretariat to report cases of insufficient progress to Co-Chairs and Steering Group in accordance with APG mutual evaluation procedures 	<ul style="list-style-type: none"> • Continue to implement 2nd round mutual evaluation follow-up procedures, including reporting within agreed timeframes, staff and operation of expert review teams and preparation of detailed analyses; and recommendations to 2014 Annual Meeting • Select interveners for 2nd round progress reports to be reviewed and adopted at 2014 Annual Meeting

<p>their mutual evaluation reports</p>	<ul style="list-style-type: none"> • Membership to consider actions to be taken in cases of insufficient progress 	<ul style="list-style-type: none"> • Members review 2nd round progress reports for 2014 Annual Meeting and agree on required follow-up action for members who have with insufficient progress • Members file Status Reports for 2014 Annual Meeting at least 30 days prior to Annual Meeting • Members consider any action required due to insufficient progress reflected in status reports
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STRATEGIC PLAN GOAL 4
CONDUCT RESEARCH AND ANALYSIS TO ENHANCE UNDERSTANDING OF THE ML, TF AND PF ENVIRONMENTS AND THE EFFECTIVENESS OF AML/CFT EFFORTS

Strategies	Tasks	Performance Targets
<p>4(i) Support the work of the APG Typologies Working Group to research and analyse AML/CFT typologies issues, including through the conduct of an annual Typologies Workshop.</p>	<ul style="list-style-type: none"> Implement APG Typologies Framework, as updated from time to time, including the Typologies Management Plan Ensure adequate resources and support for the APG Typologies Working Group Members commit resources and provide information to the APG Working Group on Typologies Identify and confirm a suitable host for the 2014 APG Typologies Workshop 	<ul style="list-style-type: none"> Typologies Working Group (WG) Chair(s) and members are identified for 2013-2014 Timely and appropriate information, support and resources are provided by members to the Typologies Working Group Plan, coordinate and deliver the APG 2013 joint Typologies and Capacity Building Workshops with EAG and host member, Mongolia, in September 2013, in accordance with Annex A Active participation by APG and EAG delegates in the 2013 Typologies Workshop is encouraged and supported Member status reports and typologies information are circulated prior to 2013 Typologies Workshop Sponsorship funding for identified priority members to attend 2013 Typologies Workshop is sought A host member for 2014 APG Typologies Workshop is confirmed by July 2014
<p>4(ii) Identify priority AML/CFT typologies issues, case studies and risks through collection, analysis and dissemination of</p>	<ul style="list-style-type: none"> Identify key issues from the Asia/Pacific region to be explored during the Typologies Workshop including on a range of ML, TF and proliferation financing methods and techniques, Identify priority projects for in depth study by the APG Typologies Working Group 	<ul style="list-style-type: none"> Members and observers provide typologies information, including methods and trends at least annually and provide AML/CFT case studies throughout the year Typologies WG agree on a “work plan” at 2013 Typologies Workshop and report on its progress at that workshop

<p>typologies information and publication of typologies reports</p>	<ul style="list-style-type: none"> • Conduct, support and participate in 2013 APG Typologies Workshop • Publish yearly typologies report and in-depth studies of priority topics • Disseminate APG typologies products, including findings, reports and research proposals to all stakeholders 	<ul style="list-style-type: none"> • Typologies WG prepares in-depth studies and regional overviews of typologies by May 2014 for adoption and publication at the Annual Meeting in July 2014 • 2013 APG Typologies Reports are published on APG's website by no later than August 2013 and distributed to members, observers, regional and international partners and the private sector via APG events, the website and email • APG experts and Secretariat actively engage in typologies events including: public presentations, education and private sector events • Members provide project proposals for in-depth studies prior to the Typologies WG meeting at the 2014 Annual Meeting
<p>4(iii) Cooperate with typologies partners, including the global AML/CFT network, the private sector, and other research partners to conduct AML/CFT-related research</p>	<ul style="list-style-type: none"> • Participate in FATF typologies activities including, typologies projects, research papers and standing activities • Cooperate with regional and global partners including the private sector on AML/CFT typologies research • Encourage engagement with the academic sector for partnered typologies research projects 	<ul style="list-style-type: none"> • Participate in FATF Working Group on Typologies (WGTYP), including attending WGTYP meetings and participating in relevant WGTYP projects <ul style="list-style-type: none"> ○ Distribution of FATF WGTYP documents to members and observers ○ Solicitation of member comments and input • APG Typologies WG engages with FATF, IMF, World Bank, ADB, UNODC, FSRBs, the Egmont Group and with member countries of FATF and FSRBs and others to further AML/CFT typologies research • APG invites academic and private sector partners to participate in the 2013 Typologies Workshop and to contribute to typologies studies • APG invites academic and private sector partners to provide input to APG typologies projects and research • Contributions to the 2013 APG Typologies Workshop by private sector and academic research partners are shared and reflected in typologies reports

STRATEGIC PLAN GOAL 5

ASSIST MEMBERS TO IMPLEMENT THE INTERNATIONAL AML/CFT STANDARDS THROUGH GUIDANCE, ADVICE AND TECHNICAL ASSISTANCE AND TRAINING

Strategies	Tasks	Performance Targets
<p>5(i) Support the Implementation Issues Working Group, the Donor and Provider Group and other mechanisms to assist members to implement the international AML/CFT standards.</p>	<ul style="list-style-type: none"> • Ensure adequate resources and support for the APG Implementation Issues Working Group (IIWG) • Ensure adequate resources and support for the APG Donor and Provider Group • Identify, include and support new DAP Group members 	<ul style="list-style-type: none"> • Members provide information, support and resources to the IIWG and its project teams to support its work program • Donors and providers commit to join the APG technical assistance coordination process in keeping with the terms of reference of Donor and Provider (DAP) Group • Secretariat provides support to the DAP group and other TA&T coordination mechanisms, including sub-regional arrangements with ASEAN and PIFS • New DAP Group members are identified and invited to join • Secretariat maintains comprehensive DAP Group contact information
<p>5(ii) Identify domestic and regional issues that affect implementation of the international AML/CFT standards.</p>	<ul style="list-style-type: none"> • Support awareness raising across private and public sector in the region • Support effective operation of AML/CFT coordination mechanisms in each APG member • Together with global partners, to employ the Strategic Implementation Planning (SIP) Framework for priority members by: <ul style="list-style-type: none"> ○ Undertaking visits to assist those members to develop implementation plans • Undertake additional work on the implementation of AML/CFT measures including new issues of the financing of proliferation 	<ul style="list-style-type: none"> • Members, DAP Group, and Secretariat support awareness raising at a political level to reinforce commitment for AML/CFT implementation and coordinated resource allocation through domestic coordination mechanisms • Secretariat provides feedback on members' experience and best practice with improving national policy and operational coordination • APG continues its partnership with the World Bank on implementation issues, particularly on the SIP Framework • Amendments to the SIP Framework to ensure consistency with the revised FATF Standards and new assessment methodology are proposed to the membership by the IIWG

	<ul style="list-style-type: none"> Identify other AML/CFT implementation issues and concerns 	<ul style="list-style-type: none"> APG will develop and deliver a paper with the Commonwealth Secretariat on AML/CFT and OECD TIEA Implementation Issues facing Small Developing States APG will work with MENAFATF, FATF, DAP and members to develop a paper on “Technical Assistance and Training Guidance and Good Practices” APG prepares for and delivers a workshop to engage with DAP Group members on implementation lessons on targeted financial sanctions APG works with APG members, the DAP Group and NPO sector bodies to develop a regional workshop in support of effective and targeted implementation of FATF Recommendation 8. Thematic implementation concerns for potential IIWG focus are identified
5(iii) Identify and support private and academic sector engagement in AML/CFT implementation assistance	<ul style="list-style-type: none"> APG members and observers to take pro-active approach to assist other members to implement AML/CFT standards through bi-lateral advice and assistance Secretariat to assist as appropriate with provision of bi-lateral implementation advice 	<ul style="list-style-type: none"> Members provide or facilitate assistance to other APG members on legal, law enforcement and financial/regulatory issues on request from members and/or ratings disclosed in mutual evaluation reports APG members and observers actively contribute to the planning and conduct of the capacity building seminars at the September 2013 Typologies and Capacity Building Workshops, being held jointly with the EAG in Mongolia. Secretariat provides information and assistance to members and to coordinate advice and assistance through a variety of methods including updates to implementation library and direct contact during APG missions

<p>5(iv) Identify technical assistance and training needs of APG members</p>	<ul style="list-style-type: none"> • Assess TA&T needs of APG members and observers in conjunction with international and regional partners • Assist members and DAP Group to coordinate TA&T responses including TA&T for ICRG affected members • Identify specific AML/CFT TA&T issues to be addressed by APG members arising from MERs, TA&T missions and the ICRG process 	<ul style="list-style-type: none"> • Secretariat scopes TA&T needs of APG members either on or off-site • APG provides additional technical support to members under review by the ICRG: <ul style="list-style-type: none"> ○ Assistance missions to members under ICRG review will identify TA&T needs both as a result of ICRG reports and additional wider needs beyond those reports ○ Secretariat informs the DAP Group after ICRG-related missions of TA&T issues/needs in order to enhance TA&T responses to members under ICRG review ○ Secretariat identifies TA&T needs of members arising from ME follow up, SIP missions, and questionnaires and distributes findings to DAP members
<p>5(v) Provide guidance, advice and technical assistance in collaboration with global partners to support effective implementation of the international AML/CFT standards.</p>	<ul style="list-style-type: none"> • Develop and expand APG's Implementation Library and advise members of independent sources relevant to AML/CFT implementation • Design and facilitate projects and resources to enhance effective AML/CFT implementation • Facilitate and assist in delivery of TA&T projects and programmes across the APG region • Assistance provided, in conjunction with DAP group members, to priority members to address specific issues identified including: <ul style="list-style-type: none"> ○ Undertaking ML/TF risks assessments ○ Building capacity in AML/CFT supervision ○ Building capacity amongst law enforcement in ML/TF investigations, undertaking provisional measures and asset forfeiture 	<ul style="list-style-type: none"> • APG will conduct one (1) workshop on the new FATF standards and assessment methodology in Seoul, Korea, and participate in an IMF workshop on the new standards/methodology in Singapore. • APG members, observers and Secretariat disseminate: <ul style="list-style-type: none"> ○ laws, guidelines, best practice materials, case studies etc., which focus on identifying and consolidating implementation experience ○ information concerning global AML/CFT standards and implementation strategies • Secretariat provides information and advice to DAP Group members to assist in developing and designing TA&T projects to build capacity and enable effective implementation of AML/CFT standards across the region • Secretariat secures resources to facilitate TA&T delivery by members and the DAP group to meet priority TA&T needs for up to two (2) TA&T projects on topical issues/areas of need as identified in collaboration with Pacific Island Forum Secretariat and ASEAN Secretariat

	<ul style="list-style-type: none"> • Collaborate with the DAP Group in identifying priority TA&T needs for the region or sub-regions and encourage design and delivery of TA&T programs in response to such needs by members of the DAP Group • Support collaboration with regional private sector bodies on AML/CFT training • Encourage members to provide feedback to, and consult with, the private sector on AML/CFT issues 	<ul style="list-style-type: none"> • Contributions to regional AML/CFT TA&T projects in collaboration with DAP Group members for legal, financial, FIU and law enforcement sectors • Secretariat assists DAP Group members in delivery of their projects and workshops to APG members, and facilitate at these events • Members and Secretariat include private sector representatives, where appropriate, in APG initiatives to raise awareness on AML/CFT implementation and encourage DAP Group to do likewise • APG representatives highlight opportunities for shared public/private sector consultation and implementation support when presenting APG's work in regional seminars and workshops involving private sector representatives • Members collaborate with the private sector in identifying opportunities for shared training during mutual evaluations and outreach visits
<p>5(vi) Support the coordination of technical assistance activities between the Donor and Provider Group and APG members through mechanisms including advice and information-sharing processes</p>	<ul style="list-style-type: none"> • Organise, support and facilitate during the 2012 APG TA&T Forum: <ul style="list-style-type: none"> ○ DAP Group members' meeting ○ individual meetings with APG members to discuss TA&T needs and available assistance with DAP Group ○ sub-regional meetings between the DAP Group and the relevant members co-chaired by relevant regional bodies and APG Secretariat, to discuss sub-regional needs and opportunities for TA&T • Conduct other relevant TA&T meetings, including DAP Group teleconferences 	<ul style="list-style-type: none"> • DAP Group and Secretariat: <ul style="list-style-type: none"> ○ Coordinate planning for TA&T, regional assistance challenges and opportunities and opportunities to cooperate and collaborate. ○ meet individual APG members to discuss priority needs ○ discuss TA&T issues common to members under ICRG consideration during Annual TA&T forum and via DAP Group teleconferences ○ meet sub-regional members (ASEAN and PIFS) to discuss TA&T ○ develop and deliver technical seminars for APG members and observers on emerging TA&T issues • APG prepares for and delivers a workshop to engage with DAP Group members on implementation lessons on targeted financial sanctions • APG members identify priority TA&T needs and notify the Secretariat and the DAP Group for consideration and response where possible

	<ul style="list-style-type: none"> • Provide TA&T coordination between APG members and DAP Group members • Contribute to collection of up-to-date TA&T information • Support DAP Group members in their TA&T planning and programming for members 	<ul style="list-style-type: none"> • Secretariat organises, supports and participates in scheduled and ad hoc meetings to identify TA&T needs, provision and coordination • Secretariat collects and shares information on the members' TA&T needs and the planning and delivery of assistance • Secretariat seeks and advises on planned assistance, gaps with assistance, TA&T needs and cases of successful assistance
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MAJOR APG EVENTS APPROACH TO ACHIEVING KEY PERFORMANCE TARGETS 2013-2014

Major APG events/projects for which key performance targets have been developed are listed, followed by a planning framework

MAJOR APG EVENTS – 2013-14

- 17th Annual Meeting 2014 (Macao, China).
- Annual Technical Assistance & Training Forum 2014 (Macao, China).
- Joint APG/EAG Typologies Workshop 2013 (Ulaanbaatar, Mongolia).
- Mutual Evaluation Training Workshops.
- Membership/Outreach Missions.
- Participation in FATF plenary, Working Group meetings and inter-sessional meetings.
- Technical assistance needs-assessment missions and projects.
- Implementation Issues Working Group projects, including SIP missions.
- Private sector events including outreach, seminars and conferences.

PREPARATIONS

- Required resources are identified and secured.
- Hosting and logistical planning is efficiently documented and budgets prepared.
- Invitations and sponsorship for priority delegates are organised to provide for the attendance of all key participants.
- Policy documents and discussion papers are prepared and distributed within agreed timeframes.

CONDUCT/PARTICIPATION

- APG events are delivered in accordance with established procedure and agreed practice.
- Voluntary funding sources outside core budget to support APG events and missions and to sponsor travel for delegates, will be communicated to the membership and to those who benefit from the voluntary funding.
- Business arising is identified and delegated to particular members, or referred for appropriate attention.

OUTCOMES/FOLLOW-UP

- All key events or project outcomes are met and/or outputs achieved.
- All plans are realised within the projected budgets and agreed timeframes.
- Resources are accounted for, or acquitted as required.
- Records of meetings, including lists of participants, are distributed to delegates.
- Required follow-up reports are prepared and circulated within the projected timeframes.

FEEDBACK

- Feedback from APG delegates in relation to, and on any aspect of, an APG event (administrative, policy or otherwise) is welcome and encouraged in accordance with the *APG Information and Communications Strategy 2010*.

