

# REPORT AGAINST APG BUSINESS PLAN



**Asia/Pacific Group  
on Money Laundering**

2012 - 2013

**Report Against APG Business Plan 2012 - 2013**

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## REPORT AGAINST APG BUSINESS PLAN 1 JULY 2012 – 30 JUNE 2013

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#### INTRODUCTION

1. This report sets out the APG's progress against tasks outlined in the *Annual Business Plan 2012 – 2013* and is divided into two parts:
  - **Part One:** is a summary of the major performance highlights for 2012 – 2013 and summarises significant areas of progress under each goal of the Business Plan; and
  - **Part Two:** provides greater detail of compliance measures against each performance target in the APG's five strategic goals.
2. **Annex A** contains the APG's project management framework utilised in planning and executing agreed tasks detailed in the Annual Business Plan.
3. A final version of this report will be prepared and circulated to members after July 2013, as the conduct of the 2013 Annual Meeting represents the culmination of activities undertaken in 2012 – 2013. This is consistent with the approach taken in previous years.

## PART ONE

# APG PERFORMANCE HIGHLIGHTS

## 2012 – 2013

1. This Part summarises the major APG performance highlights under each of the five strategic goals articulated in the *APG's Strategic Plan 2012–2016*. Part Two of this report provides details of action taken for each of the agreed tasks and performance targets.

### *Governance/Meetings*

- The 16<sup>th</sup> APG Annual Meeting and Annual Forum on Technical Assistance & Training will be held in mid-July 2013 in China.
- APG Typologies Workshop and Capacity Building Seminars were held in Vietnam in November 2012.
- APG Pacific FATF Standards and Typologies Workshops were held in Brisbane in March 2013.
- The Steering Group met five times and provided ongoing advice to the membership and the Co-Chairs and considered a number of applications for observership.
- An APG Donors and Providers (DAP) Group meeting; one (1) COAMLI (Coordinating Office for the Pacific AML Initiative) meeting; and one (1) ASEAN COAG (Coordination Agreement) meeting were held during the year.
- Significant voluntary contributions, both budgeted and new amounts, were received from or pledged by China, Australia, Canada, the United States, New Zealand, Chinese Taipei, India, Singapore and the Commonwealth Secretariat.

### *Outreach/membership Missions*

- Four missions were undertaken to APG members and non-members to facilitate full and active membership and promote commitment to the global AML/CFT standards. These included missions to Myanmar (August 2012); DPRK (observer mission held in Hong Kong, China to meet DPRK high-level delegation, January 2013); Vanuatu (January 2013) and the Federated States of Micronesia (June 2013).

### *Participation in global AML/CFT network & international cooperation*

- The APG contributed to the development of the February 2013 FATF Assessment Methodology for the FATF 40 Recommendations 2012 and to the development of other FATF policies relating to the new standards. The Ad Hoc Working Group on FATF Associate Membership Issues assisted gaining increased input by members into completion of the FATF's work on effectiveness and the revision of the Methodology.
- As an Associate Member of the FATF, the APG participated in FATF plenary meetings, typologies, working group meetings and inter-sessional meetings associated with preparations for the FATF's 4<sup>th</sup> round of evaluations, as well as and meetings of the FATF's ICRG Asia-

Pacific Regional Review (RRG) Group. Reports summarizing these meetings were prepared and provided to members.

- Information from the FATF and other AML/CFT bodies was communicated to members when received through email, APG website and/or individual correspondence/communication.
- The APG provided priority assistance and support for APG members involved in the FATF's ICRG process, including participation at Asia-Pacific RRG meetings, ICRG meetings, information briefings, supply of information and interpretative materials, implementation assistance and coordination of TA&T.
- APG conducted twenty one (21) ICRG-related visits, including four RRG on-site visits. APG ICRG-assistance missions assisted members coming into the process and facilitated the progress of a number of APG members through the ICRG process towards fulfilment of their ICRG Action Plans. The missions supported better APG participation at RRG and ICRG meetings to assist members under ICRG review, and directly targeted improved political level engagement, including parliamentarians, cabinet ministers and technical experts. These missions also included outreach to relevant donors/providers, members of the diplomatic corps, and other key stakeholders. In one case APG assistance included applying donor funds to support a drafting team to meet with assistance providers for working meetings. A number of the missions were held in conjunction with or as part of other events (eg as part of a membership mission or following a conference in the jurisdiction concerned).
- The APG Secretariat provided communications, information and liaison assistance to the Asia-Pacific RRG Group in further support of APG members in accordance with the *APG Strategic Plan 2012-2016* and Business Plan. The APG website was upgraded using funds from India.

#### ***Evaluating members' compliance with international standards***

- Prepared for the 3<sup>rd</sup> Round of Mutual Evaluations, including planning training and an updated evaluation schedule, in coordination with other relevant assessor bodies.
- Implemented APG mutual evaluation 2<sup>nd</sup> round follow-up procedures, including preparation of detailed analyses of progress by selected members for 2013 Annual Meeting.
- Started development of one (1) assessor training workshop for delivery in October 2013 in preparation for the APG's 3<sup>rd</sup> round of evaluations.
- Started development of one (1) workshop for APG members to be assessed in the early part of the new 3<sup>rd</sup> round for delivery in October 2013.
- Commenced planning with Malaysia and Sri Lanka for the conduct of the first 3<sup>rd</sup> round mutual evaluations in 2013–14.

#### ***Typologies Research***

- India and Fiji co-chaired the APG Typologies Working Group (WG) in 2012–13. The APG supported the Typologies Framework, convened the Typologies Working Group and closely collaborated with the FATF Typologies Working Group including: supporting the FATF Typologies Working Group, contributing to FATF projects and participating in FATF activities that were directly relevant to APG business.
- The APG Typologies WG developed pursued a number of projects as recommended by the APG Typologies Working Group in July 2012, including launching Pacific sub-region projects on recovering the proceeds of corruption and the ML/TF risks associated with off-shore centres. The Working Group has prepared a project proposal for an in-depth project on ML/TF vulnerabilities of the gold sector.

- The 2012 APG Typologies and Capacity Building Workshops were hosted by Vietnam in Hanoi in November 2012. The workshop was chaired by Fiji and Vietnam and involved more than 170 delegates from 34 APG member jurisdictions and nine (9) organisations.
- The APG held the first APG regional private sector typologies session during the Capacity Building Workshops in Hanoi.
- The Pacific FATF Standards and Typologies Workshop took place in Brisbane, Australia in March 2013, hosted by AUSTRAC. The workshop was supported by the Association of Pacific FIUs (APFIU) and attended by more than 60 delegates from 12 Pacific APG member jurisdictions, as well as delegates from Australia, Indonesia, New Zealand, the United States and the United Kingdom. The Pacific Island Forum Secretariat (PIFS), the Pacific Island Law Officers Network (PILON), Kiribati, and Tuvalu also attended as observers.
- The APG Typologies Working Group prepared the APG Annual Typologies Report 2013, a regional overview of typologies, for adoption and publication by the APG plenary in July 2013.
- The APG continued to support regional training initiatives (led by Donors and Providers) by building upon relevant typologies work.

***Implementation assistance – guidance, advice, technical assistance & training***

- The APG conducted four (4) sub-regional workshops/seminars on the new FATF standards for North Asia (December 2012), the Pacific (March 2013), South East Asia (March 2013), and South Asia (May 2013) sub-regions.
- SIP workshops were held with Nauru, Niue and Timor-Leste to assist those APG members to develop implementation priorities/plans following on from adoption of their MER in 2012.
- The Implementation Issues Working Group (IIWG) worked jointly with the FATF and World Bank to amend the FATF Guidance Paper on AML/CFT and financial inclusion to reflect the revised FATF standards. The paper was re-issued in February 2013.
- The IIWG commenced planning for joint work with the FATF and the World Bank to amend the FATF Guidance on Lower Capacity Countries to reflect the revised FATF standards.
- The IIWG worked on a project to identify and document implementation lessons from members' experience in prosecuting and sanctioning the ML offence, which will be completed by the 2014 Annual Meeting.
- The IIWG continued to develop an implementation resource library reflecting APG members' implementation experiences.
- The APG provided additional support to members under review by the ICRG, namely: Afghanistan, Bangladesh, Brunei Darussalam, Cambodia, Indonesia, Lao PDR, Mongolia, Myanmar, Nepal, Pakistan, Philippines, Sri Lanka, Thailand, and Vietnam. The APG also discussed ICRG review processes when visiting with Papua New Guinea.
- A number of AML/CFT TA&T potential projects were identified to address regional needs. As a consequence these are being considered in collaboration with DAP Group members for delivery to legal, financial, FIU and law enforcement sectors.
- The Secretariat supported and enhanced existing mechanisms with the Pacific Island Forum Secretariat and the ASEAN Secretariat. Efforts were made to establish greater cooperation with the Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation (BIMSTEC).
- The APG Secretariat collaborated with DAP Group members and recipient member jurisdictions to develop, coordinate and deliver AML/CFT-specific programmes, including:
  - Regional programmes to build AML/CFT capacity amongst AML/CFT supervisors and regulators;

- Regional enforcement and prosecution capacity building programmes focusing on targeting policy responses to proceeds of crime, and
- AML/CFT implementation programmes.

**Adopted:**

16<sup>th</sup> APG Annual Meeting  
Shanghai, China  
16 July 2013

## STRATEGIC PLAN GOAL 1

### BE A COHESIVE AND EFFECTIVELY GOVERNED AUTONOMOUS MULTILATERAL ORGANISATION

Strategies	Tasks	Detailed progress against the Performance Targets
<p><b>1(i)</b> Support effective governance structures, including the Plenary of Members, APG Steering Group and Co-Chairs</p>	<ul style="list-style-type: none"> <li>• Plan, coordinate and deliver the 2013 APG Annual Meeting for Plenary decisions and related ‘in-session’ governance activities</li> <li>• Steering Group sub-regional groups to provide representatives and commit resources for Steering Group meetings and activities</li> <li>• Steering Group representatives to consult with their sub-regional members on governance and other issues</li> <li>• Secretariat to support Steering Group under Steering Group Terms of Reference</li> <li>• Steering Group to convene regularly (at least quarterly) to achieve its mandate</li> <li>• Steering Group to report to membership on work and activities</li> </ul>	<ul style="list-style-type: none"> <li>• The 2013 APG Annual Meeting was held for approximately 350 delegates, including sponsorship for priority delegates</li> <li>• At the 2013 Annual Meeting all sub-regional groups elected a representative for the Steering Group (SG)</li> <li>• Sub-regional SG representatives consulted with sub-regions on key issues, responded to papers and participated in all meetings</li> <li>• SG met four (4) times by teleconference and held one (1) face-to-face meeting in the margins of 2013 Annual Meeting. The SG considered a number of applications for observership.</li> <li>• Secretariat provided administrative and advisory support and briefing documents to the five (5) SG meetings</li> <li>• SG reported to the plenary at 2013 APG Annual Meeting on its work during the year. The SG also reported to members out-of-session through the course of the year</li> </ul>
<p><b>1(ii)</b> Participate in decision making and the conduct of APG business through committing adequate resources to APG plenary meetings, working groups and other APG events</p>	<ul style="list-style-type: none"> <li>• Suitable APG Contact Points for each member and observer are to be identified and updated</li> <li>• Members to consult internally with all relevant stakeholders and contribute to the consideration of APG policy papers in plenary and out-of-session</li> <li>• APG members to ensure adequate resources are available to allow their representation at APG Plenary meetings and to allow adequate</li> </ul>	<ul style="list-style-type: none"> <li>• APG contact points were updated throughout 2012–13 by members and the Secretariat</li> <li>• APG members’ contribution to governance structures reflect ‘whole of government’ perspectives and APG members were represented by delegates with decision-making authority at all APG meetings</li> <li>• APG members and observers provided information, support and resources to the APG Working Groups, including the Typologies Working Group and Implementation Issues Working Group throughout the year</li> </ul>

	<p>consideration of and input to APG business out-of-session</p> <ul style="list-style-type: none"> <li>• APG members (and, if agreed, observers) to provide support and commit resources to take part in APG working groups and priority APG events</li> <li>• Steering Group to encourage greater participation from their geographical regions in APG activities</li> </ul>	<ul style="list-style-type: none"> <li>• APG members and observers provided information, support and resources to priority APG events including the Annual Meeting, the Typologies and Capacity Building Workshop, the Pacific Typologies Workshop, training events on the new FATF standards and other events</li> <li>• SG discussed sub-regional representation in APG activities and developed strategies to support active sub-regional participation, in particular in the Pacific through participation of Kiribati, Tuvalu and regional Pacific organisations in APG events</li> </ul>
<p><b>1(iii)</b> Support and resource the APG Secretariat to meet APG goals and ensure proper management of APG funds and assets</p>	<ul style="list-style-type: none"> <li>• APG members to provide support and commit resources to sustain Secretariat staffing, operation and work programme</li> <li>• Members to support APG programmes through voluntary contributions, project support, expert advice or other resources in addition to budget contributions</li> <li>• Members to contribute to the work of the Secretariat through the provision of specialist policy and technical advice</li> <li>• Secretariat to manage APG revenue and expenditure in accordance with agreed budget and legal obligations of host government, Australia</li> <li>• Secretariat to facilitate internal and external communications in accordance with the APG Information and Communications Strategy 2010</li> </ul>	<ul style="list-style-type: none"> <li>• As at 1 June 2013, 34 members had paid their membership fees in full or substantial part. Other members are expected to pay prior to or shortly after the Annual Meeting</li> <li>• The following members and observers made voluntary contributions to the APG in the financial year<sup>1</sup>: <ul style="list-style-type: none"> <li>○ <u>Australia</u> – \$511,466 (new voluntary contributions of \$131,956 and in-kind support of \$379,510);</li> <li>○ <u>Canada</u> – \$104,403 (new project funding of \$346,816 for the period 2012-14);</li> <li>○ <u>United States</u> – \$38,106 (pre 2012-13 funding. New project funding of \$23,549 was also received in 2012-13 but has not yet been realised as revenue);</li> <li>○ <u>New Zealand</u> – \$47,958 (pre 2012-13 funding of \$28,733 and voluntary contributions of \$19,185. MFAT has also pledged new project funding of \$62,590);</li> <li>○ <u>Chinese Taipei</u> – \$70,777 (pre-2012-13 project funding of \$62,359 plus \$8,418 out of total new project funding of \$161,000 for assistance to the Pacific);</li> <li>○ <u>Singapore</u> – for \$26,932 (out of total new project funding \$38,595)</li> <li>○ <u>AUSAID</u> – \$35,933 (new project funding \$140,639 and pre 2012-2013</li> </ul> </li> </ul>

<sup>1</sup> Amounts shown are funds actually realised as revenue in FY2012–13. Under the accrual accounting practices followed by the Secretariat’s host agency, voluntary contributions are only realised as revenue after the related expenditure has occurred. Amounts shown in brackets are the total voluntary funds pledged by donors. This includes both pre-2012-13 funding and new funding pledged after the budget for 2012-13 was adopted. Further details can be found in the *Budget Paper 2013-14*.

		<p>funding \$2,523);</p> <ul style="list-style-type: none"> <li>○ <u>China</u> – \$84,727 (out of total voluntary contributions of \$345,000 for a new senior Secretariat officer, 2012-14)</li> <li>○ <u>India</u> – \$27,270 (out of total voluntary contributions of \$60,000 for the upgrade to the APG website);</li> <li>○ <u>Commonwealth Secretariat</u> - \$51,389 (out of total project funding of \$52,142 for the 2012 APG Typologies and Capacity Building Workshops)</li> </ul> <ul style="list-style-type: none"> <li>● The Secretariat engaged a permanent, full time senior staff member during the financial year with the voluntary donation of China in the amount of \$345,000 received the previous year. The commencement of the staff member half way through the financial year (effective December 2012) means that the funding arrangement (for 2 years) expires December 2014 which is mid FY 2014/15</li> <li>● Australia (Australian Federal Police) seconded a research officer in the financial year at no cost to the membership. The officer is provided indefinitely and works primarily in typologies research</li> <li>● APG members and observers provided experts for APG missions and activities as follows: <ul style="list-style-type: none"> <li>○ Membership and outreach missions: Australia, Cook Islands, Hong Kong, China, Japan, United States, World Bank/UNODC</li> <li>○ ICRG support: Australia,(2); Canada (2), India (2); Hong Kong, China (2); Japan (1); Korea (1); Macao, China, (2); US, (3); EAG (1); FATF Secretariat, (2); IMF, (1); UNODC,/World Bank (2); World Bank (1).</li> <li>○ High-level membership and outreach missions: Australia (1); Cook Islands (1); Hong Kong, China (1); Japan; US (1); UNODC/World Bank (1)</li> <li>○ SIP and awareness raising: Australia, (1); Canada, (1); Cook Islands, (1); France (1); Macao, China, (1); New Zealand, France (1).</li> <li>○ Mutual evaluation follow-up: Australia (5), Brunei (1), Canada (2), China (2), Cook Islands (2), Fiji (1), GIFCS (1), Hong Kong, China (3), IMF (3), India (1), Indonesia (2), Japan (1), Korea (2), Macao, China (2), New Zealand (6), Pakistan (4), Sri Lanka (1), Chinese Taipei (1), Vietnam (1), World Bank (1), USA (7)</li> </ul> </li> </ul>
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		<ul style="list-style-type: none"> <li>• The Secretariat managed funds in accordance with agreed APG budget for 2012–13 and met all financial and accounting compliance obligations under Australian law imposed by APG Secretariat host agency within agreed timeframes. Audited financial statements will be attached to the Annual Report</li> <li>• The Secretariat implemented project plans to manage voluntary contributions. Reports for Canada, Chinese Taipei, New Zealand, the US and the Commonwealth Secretariat were filed on the basis required in funding agreements</li> <li>• APG communications were conducted through <ul style="list-style-type: none"> <li>○ Email communications and APG website reports;</li> <li>○ Mid-year report January 2013;</li> <li>○ Information and post missions updates;</li> <li>○ Annual Report 2012</li> </ul> </li> <li>• The APG Secretariat administered the APG website upgrade project finalised in July 2013 and funded by India</li> </ul>
<p><b>1(iv)</b> Encourage jurisdictions in the region which are not already members of the FATF or an FSRB to become a member of the APG</p>	<ul style="list-style-type: none"> <li>• Undertake up to four (4) outreach/membership missions to members and non-members</li> <li>• Reach out to non-members in Asia-Pacific region to raise awareness of AML/CFT standards, APG business and membership rules</li> <li>• Encourage prospective APG members to become observers and to participate actively in APG activities</li> <li>• Encourage observers eligible for membership to join APG as a member</li> </ul>	<ul style="list-style-type: none"> <li>• Four outreach/membership missions were conducted in this FY as follows: <ol style="list-style-type: none"> <li>1. Myanmar: membership mission, August 2012</li> <li>2. Vanuatu: membership outreach mission, January 2013</li> <li>3. DPRK: observer mission held in Hong Kong, China to meet high level delegation, January 2013</li> <li>4. Micronesia: membership mission to Micronesia to encourage application for membership, June 2013</li> </ol> </li> </ul>

		<ul style="list-style-type: none"> <li>• APG engaged with Kiribati and Tuvalu to raise awareness of the APG and the benefits and responsibilities of becoming an APG observer/member. As a result Tuvalu and Kiribati applied for guest/ad hoc observer status to participate in the Pacific Typologies Workshop in Brisbane, Australia in March 2013. Further membership-related engagement continues with both jurisdictions</li> </ul>
<p><b>1(v) Maintain effective communication and enhance relationships with stakeholders to improve their awareness of and participation in the APG</b></p>	<ul style="list-style-type: none"> <li>• Contribute to conferences, seminars and training workshops involving APG members to promote and publicise the APG and expand awareness of AML/CFT issues<sup>2</sup></li> <li>• Members and Observers to raise awareness of AML/CFT issues in national and regional forums and to engage with relevant AML/CFT international organisations</li> <li>• Engage with relevant AML/CFT bodies to enhance effective contribution to the APG</li> <li>• Identify opportunities to establish cooperative agreements with other strategically important organisations, such as the European Union and SAARC</li> </ul>	<ul style="list-style-type: none"> <li>• International and regional AML/CFT conferences and training seminars were attended as follows: <ul style="list-style-type: none"> <li>○ AML Conference, Sydney, Australia October 2012</li> <li>○ AML Diploma Course, Sydney, Australia, February 2013</li> <li>○ Asian Financial Crimes Course, July 2012</li> <li>○ ESAAMLG/Commonwealth Secretariat Judicial Workshop, South Africa, September 2012</li> <li>○ 4th International Conference on Financial Crime &amp; Terrorism Financing, Malaysia, September 2012</li> <li>○ DCI &amp; Royal Brunei Police Force Regional Conference 2012, Brunei, October 2012</li> <li>○ UN CTED Workshop UNSCR 1373, Netherlands, October 2012</li> <li>○ ADB/OECD Anti-Corruption Initiative, Vietnam, October 2012</li> <li>○ Pacific Islands Legal Officers Network (PILON) 2012 Annual Meeting, Papua New Guinea, October 2012</li> <li>○ APEC Risk Assessment Workshop, Singapore, November 2012</li> <li>○ Commonwealth Secretariat / APG South and South East Asian regional workshop on Corruption, Sri Lanka, 17-20 December</li> <li>○ Global Counterterrorism Forum, Indonesia, January 2013</li> <li>○ UNODC Tri-fold Expert Working Group on Precursors / Cross-Border Cooperation and Legal Frameworks/Illegal Financial Flows, the Netherlands, February 2013</li> <li>○ CGCC/UNCTED/UNCTITF Expert Working Group Meeting on Preventing Terrorist Abuse of the Non-profit Sector, USA, March 2013</li> </ul> </li> </ul>

<sup>2</sup> Dependent on external donor funding.

		<ul style="list-style-type: none"> <li>○ Commonwealth Secretariat workshop on financial inclusion, Sri Lanka, March 2013</li> <li>○ IMF Regional Workshop on Risk Assessments, Singapore, March 2013</li> <li>○ SAG/Quintet of Attorneys-General on MLA systems in 5-eye countries, Auckland, New Zealand, May 2013</li> <li>○ Terrorism Financing Investigations Workshop, JCLEC, Semarang, Indonesia, May 2013</li> <li>○ 31st Asia Regional Law Enforcement Management Program, Hanoi, Vietnam, 19 June 2013</li> <li>○ New Zealand FIU Annual Conference, Wellington, NZ, June 2013</li> <li>● Visits to members to discuss APG issues including Co-Chair issues, donor funding, provision of technical assistance, hosting regional events, Steering Group, mutual evaluation follow-up and so on<sup>3</sup>: <ul style="list-style-type: none"> <li>○ Australia - three trips to Canberra</li> <li>○ China - April 2013</li> <li>○ Korea - May 2013</li> <li>○ Macao, China - April 2013</li> <li>○ New Zealand - February and April 2013</li> <li>○ Chinese Taipei - May 2013</li> <li>○ United Kingdom - February 2013</li> <li>○ USA - March 2013</li> </ul> </li> <li>● Various members encouraged bilateral engagement between members and non-participating jurisdictions and organisations to advance APG strategic objectives. These included Bangladesh and BIMSTEC; and Cook Islands and Kiribati</li> <li>● EU and SAARC and other potential observers have been identified as potential observer and the APG Steering Group has approved an approach to these organisations to invite them to apply for observer status</li> </ul>
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<sup>3</sup> The list below includes mission in conjunction with those listed above, but not those reported in conjunction with ICRG, SIP or membership missions

## STRATEGIC PLAN GOAL 2

### PARTICIPATE IN THE GLOBAL AML/CFT NETWORK AND SUPPORT INTERNATIONAL COOPERATION ON AML/CFT

Strategies	Tasks	Performance Targets
<p><b>2(i)</b> Actively participate in international AML/CFT policy development and standard setting, including communicating members' implementation issues to the FATF and other international organisations</p>	<ul style="list-style-type: none"> <li>• Comply with FATF Associate Membership criteria of FATF in order to maintain status in FATF and support the APG's participation in the FATF as an Associate Member</li> <li>• Secretariat and APG delegates to represent the APG at FATF Plenaries, Typologies meetings, FATF working groups and heads of FSRB meetings</li> <li>• Secretariat to encourage and assist APG members to contribute to FATF policy deliberations, typologies work, and other global dialogues on AML/CFT and FOP</li> <li>• Secretariat to disseminate AML/CFT standards and guidance material to all APG members</li> </ul>	<ul style="list-style-type: none"> <li>• APG governance structure and operations supported effective participation in FATF in compliance with Associate Member rules and procedures</li> <li>• APG engaged with the FATF's Global Network Coordination Group in three FATF plenaries and commented on its policy development. The APG Secretariat has volunteered to provide support and advice to other FSRBs</li> <li>• The Secretariat and APG delegates attended FATF Plenaries. APG members attending included:             <ul style="list-style-type: none"> <li>○ Bangladesh, Cambodia, Indonesia, Macao, China, Malaysia, Mongolia, Nepal, Pakistan, Philippines, Sri Lanka, Chinese Taipei, Thailand; and Vietnam.</li> </ul> </li> <li>• APG Ad Hoc Working Group on FATF Associate Membership Issues (Ad Hoc WG) met three (3) times to prepare policy responses to FATF standards development</li> <li>• Outcome reports were provided to APG members within four (4) weeks of all FATF meetings and posted on the APG website and directly with affected APG members</li> <li>• APG views were solicited from members, consolidated and provided to the FATF Secretariat during the development of the FATF Recommendations and methodology on a range of issues across the standards</li> <li>• Secretariat distributed to all members FATF documents and papers ahead of three (3) FATF plenary meetings, three (3) RRG meetings and other inter-sessional meetings via the APG website and email</li> </ul>

<p><b>2(ii)</b> Establish, maintain and enhance APG mechanisms for working with the FATF, FSRBs and other relevant regional and international organisations and assist in strengthening the global AML/CFT network</p>	<ul style="list-style-type: none"> <li>• Secretariat to provide liaison between APG members and FATF and to facilitate APG input to the FATF and from the FATF to the APG</li> <li>• Secretariat to provide policy, process, communications, liaison and awareness raising support to APG members under ICRG review</li> <li>• Secretariat to provide APG documents and APG Working Group reports to FATF</li> <li>• Secretariat and APG delegates share APG regional experience with the FATF and with other relevant AML/CFT bodies</li> <li>• Secretariat and APG delegates to develop and support mechanisms for joint work with the FATF, FSRBs and other relevant bodies</li> <li>• Secretariat to support enhanced contact and cooperation with FSRBs through attendance at one FSRB plenary meeting and regular liaison and co-operation with other FSRBs</li> <li>• Secretariat and APG delegates to identify opportunities for cooperative agreements with strategically important regional and global organisations</li> </ul>	<ul style="list-style-type: none"> <li>• Secretariat and members submitted proposals to FATF to develop GNCG and President’s Forum</li> <li>• FATF and other international organisations were invited to APG events, including <ul style="list-style-type: none"> <li>• 2013 Annual Meeting</li> <li>• 2012 Typologies Workshop</li> <li>• Regional FATF Standards Workshops</li> <li>• NPO workshops</li> <li>• SIP workshops and</li> <li>• other relevant events.</li> </ul> </li> <li>• FATF and EAG Secretariats attended both the Annual Meeting and Annual Typologies Workshop</li> <li>• APG pursued the joint project on Financial Inclusion with the FATF and World Bank and is preparing for a joint typologies workshop with EAG and possible joint typologies projects with FATF and others</li> <li>• APG Secretariat and Commonwealth Secretariat secured funding and working agreements which resulted in the conduct of a 2½ day capacity building workshop in Hanoi, Vietnam in November 2012. APG supported regional judicial training organised jointly by the Commonwealth Secretariat and ESAAMLG</li> <li>• APG Secretariat has worked closely with the EAG Secretariat in preparation for a joint Typologies Capacity Building Workshop to be held in Mongolia in September 2013. This included meetings in the margins of FATF plenaries, meetings at the 2012 APG Typologies Meeting and EAG joining the APG ICRG-support visit to Mongolia in March 2013</li> </ul>
<p><b>2(iii)</b> Support APG members’ engagement with global AML/CFT compliance programs and processes, including the</p>	<ul style="list-style-type: none"> <li>• Provide assistance and advice to APG members regarding the FATF’s ICRG</li> <li>• Provide APG input to FATF processes regarding the ICRG</li> </ul>	<ul style="list-style-type: none"> <li>• Timely and accurate information and advice was provided to all 14 APG members under ICRG review during this FY both on an ongoing basis during the year and prior to/following RRG meetings</li> <li>• APG approached the FATF on several occasions to indicate that consolidated</li> </ul>

<p>FATF International Cooperation Review Group (ICRG)</p>	<ul style="list-style-type: none"> <li>• Actively participate in FATF ICRG meetings and inter-sessional RRG meetings</li> <li>• Integrate APG’s technical assistance coordination function with the strategies to support members under ICRG review</li> </ul>	<p>and streamlined ICRG rules of procedure should be published. The absence of ICRG rules of procedure is confusing and complex for all APG members. In the absence of publication by the FATF in this respect the APG Secretariat published its own consolidation of the ICRG rules based on existing documents published by the Secretariat over the last number of years</p> <ul style="list-style-type: none"> <li>• APG Secretariat attended and contributed to: <ul style="list-style-type: none"> <li>○ three (3) FATF ICRG meetings (2 in Paris and 1 in Norway); and</li> <li>○ three (3) inter-sessional RRG meetings to support members under ICRG consideration (Sydney; Hong Kong, China; and Bangkok)</li> </ul> </li> <li>• APG Secretariat provided communications, information and liaison assistance to the Asia-Pacific RRG Group in support of APG members in accordance with the <i>APG Strategic Plan 2012–2016</i>.</li> <li>• Secretariat provided support to all members in ICRG process and to donors and providers of assistance via meetings, review papers, policy discussions, information resources and briefings. This included structured briefings to officials, ministers, parliamentarians and the private sector.</li> <li>• All ICRG materials and explanatory/interpretative material to support APG members’ understanding of ICRG policies, procedures and processes was provided on a timely basis</li> <li>• APG conducted twenty one (21) ICRG-related visits, including four RRG on-site exit visits, as follows (the December 2012 and March 2013 visits to Sri Lanka were in the margins of attendance at other events in Colombo, and several of these visits were conducted as part of membership or TA&amp;T missions): <ul style="list-style-type: none"> <li>○ Myanmar, 20 – 24 August 2012;</li> <li>○ Indonesia, 24 – 25 September 2012;</li> <li>○ Pakistan, 2 – 4 October 2012;</li> <li>○ Brunei, 9 – 11 October 2012;</li> <li>○ Papua New Guinea, 24–26 October 2012;</li> <li>○ Thailand, 13 – 14 November 2012;</li> <li>○ Sri Lanka, 17 December 2012;</li> <li>○ Lao PDR, 17 – 19 December 2012;</li> </ul> </li> </ul>
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## STRATEGIC PLAN GOAL 3

### ASSESS AND IMPROVE MEMBERS' COMPLIANCE WITH THE INTERNATIONAL AML/CFT STANDARDS

Strategies	Tasks	Performance Targets
<p><b>3(i)</b> Conduct the APG's third round of mutual evaluations with the aim of assessing all members during the period of the APG's mandate 2012 – 2020</p>	<ul style="list-style-type: none"> <li>• In coordination with other assessor bodies, plan the 3<sup>rd</sup> Round of mutual evaluations</li> <li>• Identify trained experts for 3<sup>rd</sup> round evaluations commencing next financial year (FY2013–2014)</li> <li>• Members to ensure that identified experts attend required meetings to complete mutual evaluation reports in accordance with APG procedures</li> </ul>	<ul style="list-style-type: none"> <li>• The 3<sup>rd</sup> round mutual evaluation schedule was prepared in coordination with other evaluating bodies, endorsed by the SG and presented to the membership at the 2013 Annual Meeting</li> <li>• The ME schedule includes two (2) evaluations commencing in 2013–14 (Malaysia and Sri Lanka) and an average of six (6) assessments per annum thereafter</li> <li>• The mutual evaluation on-site visit for Australia in this FY but the report will not be completed and adopted until the next</li> <li>• Planning and liaison commenced with Malaysia and Sri Lanka in the 2<sup>nd</sup> quarter of 2013 for their ME which will commence in mid-2013</li> <li>• Trained assessors for Malaysia and Sri Lanka identified and commence work on these evaluations</li> </ul> <p><i>Other performance targets are deferred until 2013-14 due to timing of commencement of 3<sup>rd</sup> round of mutual evaluations</i></p>
<p><b>3(ii)</b> Provide assessment training for APG evaluators and for APG members undergoing evaluation</p>	<ul style="list-style-type: none"> <li>• Plan and deliver assessor training workshop in lead up to mutual evaluations planned for FY 2013–2014</li> <li>• Conduct pre-mutual evaluation training for members undergoing assessment in late 2013/early 2014</li> <li>• Conduct technical, administrative and procedural briefings for officials from members undergoing a mutual evaluation in 2013–14</li> </ul>	<ul style="list-style-type: none"> <li>• Mutual evaluation assessor and jurisdiction training planned for the second quarter of 2013 was deferred due to the training package not being finalised by the FATF WGEI until June 2013</li> <li>• APG Secretariat has commenced planning for regional training in the 4<sup>th</sup> quarter of 2013 for assessors (Sydney) and members undergoing their mutual evaluation in late 2013 (Kuala Lumpur)</li> <li>• APG will provide individual mutual evaluation briefings in the margins of the 2013 Annual Meeting for those members to be evaluated in 2013–14</li> </ul>

	<ul style="list-style-type: none"> <li>• Provide further information and support to officials from members undergoing a mutual evaluation to raise awareness of procedures</li> </ul>	<ul style="list-style-type: none"> <li>• APG Secretariat has provided briefings and explanatory materials to Malaysia and Sri Lanka as they prepare for the 3<sup>rd</sup> round ME</li> </ul>
<p><b>3(iii)</b> Maintain APG mutual evaluation procedures which reflect best practice for AML/CFT assessments, including ensuring the quality and consistency of APG mutual evaluations</p>	<ul style="list-style-type: none"> <li>• Monitor policy initiatives and decisions on assessment methodology as well as procedural issues</li> <li>• Request members to suggest changes to assessment methodology and procedures</li> <li>• Update APG Mutual Evaluation Procedures when necessary</li> <li>• Members to contribute to discussion of possible changes to APG mutual evaluation procedures in the light of revised assessment methodology</li> </ul>	<ul style="list-style-type: none"> <li>• The APG's 2<sup>nd</sup> Round (Follow-up) Procedures were revised in March 2013 following consultation with members through the Steering Group.</li> <li>• The APG Secretariat and members monitored FATF WGEI initiatives on Assessment Methodology (for both technical compliance and effectiveness)</li> <li>• Based on Secretariat requests, members provided comments, and made recommendations to FATF, on the Assessment Methodology and procedural documents in FATF's 4<sup>th</sup> round discussions</li> <li>• APG Secretariat has reviewed APG ME procedures and has prepared draft 3<sup>rd</sup> round procedures for adoption at 2013 Annual Meeting taking account of revised FATF procedures and APG experience</li> </ul> <p><i>Action items for peer review, quality control, etc are not applicable in 2012–13 due to the timing of assessments</i></p>
<p><b>3(iv)</b> Maintain and enhance mechanisms, including follow-up procedures and strategic implementation planning, to monitor action taken and improve compliance by evaluated APG members in response to their mutual evaluation reports</p>	<ul style="list-style-type: none"> <li>• Implement APG ME follow-up procedures to monitor compliance efforts by members against global AML/CFT standards</li> <li>• Secretariat to report cases of insufficient progress to Co-Chairs and Steering Group in accordance with APG ME Procedures</li> </ul>	<ul style="list-style-type: none"> <li>• APG ME follow-up procedures were implemented, including reporting within agreed timeframes, staff and operation of expert review teams and preparation of detailed analyses; and recommendations to the plenary (through the Steering Group) ahead of the 2013 Annual Meeting</li> <li>• APG Secretariat has approached interveners for 2013 Annual Meeting ME progress reports</li> </ul>

	<ul style="list-style-type: none"> <li>• Plenary to consider actions to be taken in cases of insufficient progress</li> </ul>	<ul style="list-style-type: none"> <li>• At 2013 Annual Meeting members will: <ul style="list-style-type: none"> <li>○ review ME Progress Reports and agree on required follow-up action to ensure that members address MER deficiencies in a timely manner</li> <li>○ intervene in plenary to require members under follow up to ask relevant compliance related questions</li> </ul> </li> <li>• APG members will consider Review Team recommendations, as endorsed by the SG, when deciding on actions to be taken in cases of insufficient progress</li> <li>• APG members filed Member Status Reports for 2013 Annual Meeting at least 30 days prior to the Annual Meeting</li> </ul>
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**STRATEGIC PLAN GOAL 4**  
**CONDUCT RESEARCH AND ANALYSIS TO ENHANCE UNDERSTANDING OF THE ML, TF AND PF ENVIRONMENTS AND THE EFFECTIVENESS OF AML/CFT EFFORTS**

Strategies	Tasks	Performance Targets
<p><b>4(i)</b> Support the work of the APG Typologies Working Group to research and analyse AML/CFT typologies issues, including through the conduct of an annual Typologies Workshop.</p>	<ul style="list-style-type: none"> <li>• Implement APG Typologies Framework, as updated from time to time, including the Typologies Management Plan</li> <li>• Ensure adequate resources and support for the APG Typologies Working Group</li> <li>• Members commit resources and provide information to the APG Working Group on Typologies</li> <li>• Identify and confirm a suitable host for the 2013 APG Typologies Workshop</li> </ul>	<ul style="list-style-type: none"> <li>• India and Fiji co-chaired the Typologies Working Group (WG) for 2012–13</li> <li>• Members and observers provided typologies information, support and resources to the APG Working Group, including case studies on ML/TF methods and trends through the typologies workshops and collection processes during the year</li> <li>• APG member status reports and typologies information were provided and circulated prior to the 2012 Typologies Workshop. A number of APG members provided typologies reports in the months following the 2012 workshop</li> <li>• Sponsorship funding was obtained from New Zealand, Chinese Taipei, the Commonwealth Secretariat and the United States to sponsor 19 delegates to attend the 2012 Typologies and Capacity Building Workshop in Vietnam</li> <li>• A wide range of APG members and observers provided presentations and led discussion during APG typologies sessions. All presentations were shared via the secure website</li> <li>• Sponsorship funding was obtained from the Government of Australia (AUSTRAC and AusAID), the Pacific Island Forum Secretariat and the United States (State Department) to support priority delegates to attend the 2013 Pacific FATF Standards and Typologies Workshop</li> <li>• Mongolia has agreed to host the 2013 APG Typologies Workshop</li> </ul>

<p><b>4(ii)</b> Identify priority AML/CFT typologies issues, case studies and risks through collection, analysis and dissemination of typologies information and publication of typologies reports</p>	<ul style="list-style-type: none"> <li>• Identify key issues from the Asia/Pacific region to be explored during the Typologies Workshop including on a range of ML, TF and PF methods and techniques,</li> <li>• Identify priority projects for in depth study by the APG Typologies Working Group</li> <li>• Conduct, support and participate in 2012 APG Typologies Workshop</li> <li>• Publish yearly typologies report and in-depth studies of priority topics</li> <li>• Disseminate APG typologies products, including findings, reports and research proposals to all stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>• Members and observers provided typologies information and proposals for in-depth studies ahead of the Typologies WG meeting at the 2013 Annual Meeting</li> <li>• Typologies WG will consider a proposed work plan in July 2013</li> <li>• APG 2012 Typologies Workshop was hosted by Vietnam in November 2012. The workshop was chaired by Fiji (Typologies WG Co-Chair) and Vietnam</li> <li>• 2012 Typologies Workshop breakout sessions focused on the following key issues for the APG and in support of FATF and APEC projects: <ul style="list-style-type: none"> <li>○ ML/TF vulnerabilities from gold and gem dealers, real estate agents, lawyers and accountants (APG contribution to the APEC DNFBP study)</li> <li>○ Hawala/Underground Banking (in support of the global FATF study)</li> <li>○ Laundering the Proceeds of Corruption</li> <li>○ Counterfeit Currency (in support of the global FATF study)</li> </ul> </li> <li>• A Capacity Building Workshop was held in association with the 2012 Typologies Workshop and involved three technical seminars, including a meeting with representatives of the private sector. The seminars covered: <ul style="list-style-type: none"> <li>○ Trade-based money laundering (building on the APG's July 2012 study) and laundering the proceeds of tax offences</li> <li>○ 'Following the Money' – addressing the policy gap in proceeds of crime and prosecuting money laundering</li> <li>○ Building strategic partnerships between government and the private sector. This was the first time the typologies WG has undertaken regional engagement with the private sector on typologies</li> </ul> </li> <li>• Pacific Typologies and FATF Standards Workshop was held in Brisbane, Australia in March 2013. Workshop topics included: <ul style="list-style-type: none"> <li>○ Revised FATF Standards</li> <li>○ Recovering the Proceeds of Corruption in the Pacific</li> <li>○ ML/TF Risks Associated with Off Shore Financial Centres</li> </ul> </li> </ul>
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		<ul style="list-style-type: none"> <li>○ Trans-Pacific Drug Routes</li> <li>○ Frauds and money laundering in the Pacific</li> <li>• Typologies WG prepared in-depth studies and regional overviews of typologies for adoption and publication in July 2013</li> <li>• APG experts and Secretariat were actively engaged in typologies events including: public presentations, education and private sector events</li> </ul>
<p><b>4(iii)</b> Cooperate with typologies partners, including the global AML/CFT network, the private sector, and other research partners to conduct AML/CFT-related research</p>	<ul style="list-style-type: none"> <li>• Participate in FATF typologies activities including, typologies projects, research papers and standing activities</li> <li>• Cooperate with regional and global partners including the private sector on AML/CFT typologies research</li> <li>• Encourage engagement with the academic sector for partnered typologies research projects</li> </ul>	<ul style="list-style-type: none"> <li>• APG participated and contributed to: <ul style="list-style-type: none"> <li>○ FATF WGTYP meetings</li> <li>○ coordination teleconferences</li> <li>○ relevant WGTYP projects, including ML/TF related to counterfeiting of currency, ML/TF vulnerabilities of legal professionals and the role of hawala in ML/TF</li> </ul> </li> <li>• APG was unable to attend the FATF’s 2012 Annual Typologies Experts Meeting due to competing priorities</li> <li>• FATF WGTYP documents were distributed to members and observers and comments transmitted to the FATF</li> <li>• APG Typologies WG engaged with FATF, IMF, World Bank, ADB, UNODC, FSRBs and with members of FATF and FSRBs and others to further AML/CFT typologies research</li> <li>• APG strongly supported enhanced global typologies coordination through structures in the WGTYP and the GNCG</li> <li>• APG consulted with leading private sector partners in the lead up to the 2012 Typologies Workshop and sought feedback on planned typologies studies</li> </ul>

		<ul style="list-style-type: none"><li>• In November 2012 the inaugural APG regional typologies private sector seminar was held with private sector partners on opportunities for their input to APG typologies research. The APG has prepared a proposal for members' consideration at the 2013 Annual Meeting for a regional APG/Private Sector Typologies Contact Group to support enhanced information sharing and options for joint government/private sector typologies projects</li><li>• Contributions to the 2012 APG Typologies Workshop by private sector and research partners were shared and reflected in typologies reports</li></ul>
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## STRATEGIC PLAN GOAL 5

### ASSIST MEMBERS TO IMPLEMENT THE INTERNATIONAL AML/CFT STANDARDS THROUGH GUIDANCE, ADVICE AND TECHNICAL ASSISTANCE AND TRAINING

Strategies	Tasks	Performance Targets
<p><b>5(i)</b> Support the Implementation Issues Working Group, the Donor and Provider Group and other mechanisms to assist members to implement the international AML/CFT standards.</p>	<ul style="list-style-type: none"> <li>• Ensure adequate resources and support for the APG Implementation Issues Working Group (IIWG)</li> <li>• Ensure adequate resources and support for the APG Donor and Provider Group</li> <li>• Identify, include and support new APG DAP Group members</li> </ul>	<ul style="list-style-type: none"> <li>• Hong Kong, China and New Zealand co-chaired the IIWG. Members provided responses to the ongoing project on investigations and prosecutions. The Project Team provided interim updates at the November 2012 Typologies Workshop and provided a progress report to the July 2013 IIWG meeting in Shanghai, 2013</li> <li>• The DAP Group continued to be supported by donors and providers and the Secretariat and functioned to facilitate technical assistance coordination and cooperation between donors, providers and APG members</li> <li>• The APG Secretariat regularly updated the DAP Group via email and held one DAP Group teleconference in February 2013 to brief on ICRG outcomes and related TA&amp;T needs and delivery. The Secretariat produced TA&amp;T gap analyses for 13 APG members and updated them during the course of the year</li> <li>• Korea formally joined the DAP Group in March 2013 and APG is supporting Korea to deliver a FATF revised standards workshop in August 2013</li> <li>• The Secretariat maintained comprehensive DAP Group contact information</li> </ul>
<p><b>5(ii)</b> Identify domestic and regional issues that affect implementation of the international AML/CFT standards.</p>	<ul style="list-style-type: none"> <li>• Support awareness raising</li> <li>• Support development of AML/CFT coordination mechanism(s) in each APG member jurisdiction</li> <li>• APG members with global partners to employ the Strategic Implementation Planning (SIP) Framework for members with completed mutual evaluation reports by:</li> </ul>	<ul style="list-style-type: none"> <li>• Members, DAP Group, and the Secretariat supported awareness-raising at political level to reinforce commitment for AML/CFT implementation and coordinated resource allocation</li> <li>• The Secretariat provided assistance to enhance NCCs and in-country TA&amp;T coordination mechanisms during APG missions</li> <li>• The APG continued its partnership with the World Bank on implementation issues, particularly on financial inclusion concerns</li> </ul>

	<ul style="list-style-type: none"> <li>○ Undertaking in-country missions to assist members who have been evaluated to develop implementation plans</li> <li>● Undertake additional work on the implementation of AML/CFT and financial inclusion concerns</li> <li>● Identify other implementation concerns</li> </ul>	<ul style="list-style-type: none"> <li>● APG worked with FATF and World Bank to prepare revised Guidance on AML/CFT and Financial Inclusion to reflect FATF standards adopted in February 2012 and this Guidance was adopted by the FATF in February 2013</li> <li>● With the assistance of Donors and Providers, the Secretariat delivered SIP workshops for <ul style="list-style-type: none"> <li>○ Nauru in Brisbane, Australia, February 2013</li> <li>○ Niue in Wellington, New Zealand, January 2013 and</li> <li>○ Timor-Leste in Dili, November 2012</li> </ul> </li> <li>● IIWG will finalise amendments to the SIP Framework for consistency with the revised FATF Standards and new assessment methodology</li> <li>● Secretariat and members produce a draft report identifying and documenting implementation lessons from members' experience in prosecuting and sanctioning the ML offence</li> <li>● APG is working with the Commonwealth Secretariat to prepare a paper on Implementation Issues facing Small Developing States to present to Commonwealth Law Ministers Meeting in September 2013</li> <li>● APG is working with MENAFATF and FATF on the development of a "Technical Assistance and Training Guide and Good Practices" Paper for the GNCG which will set out the importance of TA&amp;T to AML/CFT, the importance of AML/CFT to development and set out how FSRB coordination of TA&amp;T can support good practices to ensure effective TA&amp;T delivery. A draft outline of this paper was presented to FATF in June 2013</li> </ul>
<p><b>5(iii)</b> Identify and support private and academic sector engagement in AML/CFT implementation assistance</p>	<ul style="list-style-type: none"> <li>● APG members and observers to take pro-active approach to assist other members to implement AML/CFT standards through bilateral advice and assistance</li> </ul>	<ul style="list-style-type: none"> <li>● Members provided or facilitated assistance to other APG members on legal, law enforcement and financial/regulatory issues on request from members</li> <li>● Secretariat provided information and assistance to members and coordinated advice and assistance throughout the year</li> </ul>

	<ul style="list-style-type: none"> <li>• Secretariat to assist as appropriate with provision of bilateral implementation advice</li> </ul>	<ul style="list-style-type: none"> <li>• Secretariat engaged with a number of non-governmental entities involved in aspects of AML/CFT technical assistance, including the Basel Institute in October 2012</li> </ul>
<p><b>5(iv)</b> Identify technical assistance and training needs of APG members</p>	<ul style="list-style-type: none"> <li>• Assess TA&amp;T needs of APG members and observers in conjunction with international and regional partners</li> <li>• Assist members and DAP Group to coordinate TA&amp;T responses including TA&amp;T for ICRG affected members</li> <li>• Identify specific AML/CFT TA&amp;T issues to be addressed by APG members arising from MERs, TA&amp;T missions and the ICRG process</li> </ul>	<ul style="list-style-type: none"> <li>• Secretariat conducted TA&amp;T needs scoping visit to APG members including Cambodia and Lao PDR</li> <li>• APG provided additional support to members under review by the ICRG, namely: Afghanistan, Bangladesh, Brunei Darussalam, Cambodia, Indonesia, Lao PDR, Mongolia, Myanmar, Nepal, Pakistan, Philippines, Sri Lanka, Thailand, and Vietnam. Assistance missions to members under ICRG review normally included TA&amp;T needs assessments and DAP coordination meetings.</li> <li>• Secretariat discussed TA&amp;T issues with the DAP Group after ICRG-related missions to enhance TA&amp;T responses to members under ICRG review</li> <li>• Secretariat identified TA&amp;T needs of members arising from ME follow up, SIP missions, ICRG review and questionnaires and distributed findings to DAP members</li> </ul>
<p><b>5(v)</b> Provide guidance, advice and technical assistance in collaboration with global partners to support effective implementation of the international AML/CFT standards.</p>	<ul style="list-style-type: none"> <li>• Develop and expand APG's Implementation Library</li> <li>• Advise members of independent sources relevant to AML/CFT implementation</li> <li>• Design and facilitate projects and resources to enhance effective AML/CFT implementation</li> <li>• Facilitate and assist in delivery of TA&amp;T projects and programmes across the APG region</li> </ul>	<ul style="list-style-type: none"> <li>• APG conducted four (4) sub-regional workshops/seminars on the new FATF standards in 2012–13 for North Asia, the Pacific, South East Asia and South Asia: <ul style="list-style-type: none"> <li>○ North Asia Workshop held in Seoul, Korea in 3–5 December 2012, hosted by the Korea FIU</li> <li>○ Pacific FATF Standards Workshop was held in Brisbane 4-6 March 2013, hosted by AUSTRAC, Australia with support from the United States</li> <li>○ South East Asia Workshop was held in Singapore, 25–27 March 2013, hosted by IMF and the Singapore Training Institute</li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>• Assistance provided, in conjunction with DAP group members, to priority members to address specific issues identified including: <ul style="list-style-type: none"> <li>○ Undertaking ML/TF risks assessments</li> <li>○ Building capacity in AML/CFT supervision</li> <li>○ Building capacity amongst law enforcement in ML/TF investigations, undertaking provisional measures and asset forfeiture</li> </ul> </li> <li>• Collaborate with the DAP Group in identifying priority TA&amp;T needs for the region or sub-regions and encourage design and delivery of TA&amp;T programs in response to such needs by members of the DAP Group</li> <li>• Support collaboration with regional private sector bodies on AML/CFT training</li> <li>• Encourage members to provide feedback to and consult with the private sector on AML/CFT issues</li> </ul>	<ul style="list-style-type: none"> <li>○ South Asia Workshop was held in Sri Lanka, 28–30 May 2013, hosted by the Central Bank of Sri Lanka</li> <li>• APG members, observers and Secretariat disseminated: <ul style="list-style-type: none"> <li>○ laws, guidelines, best practice materials, case studies, etc which focus on identifying and consolidating implementation experience;</li> <li>○ information concerning global AML/CFT standards and implementation strategies</li> </ul> </li> <li>• Secretariat provided information and advice to DAP Group members to assist in developing and designing TA&amp;T projects to build capacity and enable effective implementation of AML/CFT standards across the region</li> <li>• Secretariat identified and delivered TA&amp;T projects and programs targeted at priority needs and secured resources to deliver these projects in collaboration with Pacific Island Forum Secretariat and ASEAN Secretariat: <ul style="list-style-type: none"> <li>○ Funding from Chinese Taipei was secured to deliver an APG Sub-regional workshop on Preventing the abuse of Not for Profit organisations held in Sydney in December 2012 for Papua New Guinea, Solomon Islands and Vanuatu</li> <li>○ Australia (AUSTRAC) hosted an APG the Pacific FATF Standards Workshop and Pacific Typologies Workshop held in Brisbane in March 2013 with support from the United States funding secured by APG. Sponsorship funding from AUSTRAC, the Pacific Island Forum Secretariat and the United States of America (State Department) supported priority delegates to attend</li> <li>○ Malaysia hosted the APG/ASEAN AML/CFT Regulatory/Supervisory Workshop was held in April 2013 in Kuala Lumpur. Funding was provided by ADB and Bank Negara Malaysia to sponsor priority delegates</li> <li>○ Contributed to regional AML/CFT TA&amp;T projects in collaboration with DAP Group members for legal, financial, FIU and law enforcement sectors</li> </ul> </li> </ul>
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		<p>strategic priorities for the next two years</p> <ul style="list-style-type: none"> <li>○ Presented to the Pacific Island Forum Secretariat Working Group in June 2013 on Counter Terrorism on the implementation of Rec 5 (SRII) and Rec 6 (SRIII) in the Pacific and the importance of continuing work in this area</li> <li>○ Presented to the Forum Regional Security Council in June 2013 on the importance of AML in fighting crime in the Pacific</li> <li>○ Delivered and facilitated at Regional Law Enforcement Program 31 in Vietnam in June 2013</li> </ul> <ul style="list-style-type: none"> <li>● Members and Secretariat included private sector representatives, where appropriate, in APG initiatives to raise awareness on AML/CFT implementation and encouraged DAP Group to do likewise. For example APG invited the Centre for Not for Profit Law, the Australian Council for International Development and a private law firm to present and/or facilitate at the sub-regional workshop for NPOs that was held by APG in Sydney in December 2012</li> <li>● APG representatives highlighted opportunities for shared public/private sector consultation and implementation support when presenting APG’s work in regional seminars and workshops involving private sector representatives.</li> <li>● Members collaborated with the private sector when necessary or appropriate in identifying opportunities for shared training during mutual evaluations and outreach visits. For example the APG Secretariat provided an overview of the revised FATF standards at the Australian Financial Markets Association (AFMA) 2012 AML conference in Sydney on 29 – 31 October 2012</li> </ul>
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<p><b>5(vi)</b> Support the coordination of technical assistance activities between the Donor and Provider Group and APG members through mechanisms including advice and information-sharing processes</p>	<ul style="list-style-type: none"> <li>• Organise, support and facilitate during the 2012 APG TA&amp;T Forum: <ul style="list-style-type: none"> <li>○ DAP Group members' meeting</li> <li>○ individual meetings with APG members to discuss TA&amp;T needs and available assistance with DAP Group</li> <li>○ sub-regional meetings between the DAP Group and the relevant members co-chaired by relevant regional bodies and APG Secretariat, to discuss sub-regional needs and opportunities for TA&amp;T</li> </ul> </li> <li>• Conduct other relevant TA&amp;T meetings, including DAP Group teleconferences</li> <li>• Provide TA&amp;T coordination between APG members and DAP Group members</li> <li>• Contribute to collection of up-to-date TA&amp;T information</li> <li>• Support DAP Group members in their TA&amp;T planning and programming for members</li> </ul>	<ul style="list-style-type: none"> <li>• The 2012 APG Annual Forum on TA&amp;T included: <ul style="list-style-type: none"> <li>○ 19 individual meetings between the DAP Group and APG members on TA&amp;T needs and current and planned delivery of assistance</li> <li>○ Two meetings of the DAP Group relating to planning and delivery of TA&amp;T</li> <li>○ Two sub-regional meetings for ASEAN and Pacific Islands Forum members, jointly chaired by APG/ASEAN Secretariats and APG/Forum Secretariats respectively, and</li> <li>○ Three technical seminars for all APG members and observers on TA&amp;T issues that are emerging as a result of the revised FATF standards</li> </ul> </li> <li>• Secretariat collected, analysed and shared information on the members' TA&amp;T needs and the planning and delivery of assistance both in the 2012 APG Annual Forum on TA&amp;T and via the teleconference and throughout the year</li> <li>• Secretariat regularly updated the DAP via email and held a DAP teleconference in February 2013 and held meetings for the DAP in individual jurisdictions where appropriate. For example a DAP meeting was held in Lao PDR as a part of the ICRG mission in April 2013</li> <li>• APG coordinated TA&amp;T with the DAP group and this resulted in a number of joint initiatives including the APG/ASEAN Supervision and Regulatory Workshop supported by Malaysia and ADB, the Pacific FATF and Typologies Workshops supported by Australia, United States of America and the Pacific Island Forum Secretariat</li> </ul>
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		<ul style="list-style-type: none"><li>• APG secured funding which has assisted or will assist in facilitating donors and providers to work together including:<ul style="list-style-type: none"><li>• Funding from the United States (DAP) to support Timor Leste officials to be placed in the Indonesian FIU (DAP).</li><li>• Funding from Canada to support ICRG missions and SIP missions that can fund experts from the DAP group- for example experts from Canada and Macao, China assisted to deliver the Timor Leste SIP with APG.</li></ul></li></ul>
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**MAJOR APG EVENTS  
APPROACH TO ACHIEVING KEY PERFORMANCE TARGETS  
2012–2013**

**1. MAJOR APG EVENTS – 2012–13**

The major events/projects for which key performance targets have been developed are:

- a. Annual Meeting 2013
- b. Annual Technical Assistance & Training Forum 2013
- c. Typologies Workshop 2012
- d. Mutual Evaluation Training Workshops
- e. Outreach Missions
- f. Participation in FATF Plenary, Working Group meetings and inter-sessional meetings
- g. Technical assistance needs-assessment missions and projects
- h. Implementation Issues Working Group projects, including SIP missions
- i. Private sector events including outreach, seminars and conferences.

To ensure successful achievement of these events/projects, the Secretariat employs a basic planning and management framework as follows:

**2. PREPARATIONS**

- Hosting and logistics planning is efficiently documented and budgets prepared.
- Required resources are confirmed and secured.
- Invitations and, as necessary, sponsorship, are organised to provide for the attendance of all key participants.
- All required documents and papers are prepared and distributed within agreed/reasonable timeframes.
- All participants consider draft materials and provide any feedback within the specified timeframes.

**3. CONDUCT / PARTICIPATION**

- All business on the agenda is dealt with and accurately recorded.
- Voluntary funding sources outside core budget to support APG events and missions and to sponsor travel for delegates, will be communicated to the membership and to those who benefit from the voluntary funding.
- Business arising is identified and delegated to particular members, or referred for appropriate attention.
- All key events or project outcomes are met and/or outputs achieved.

**4. OUTCOMES / FOLLOW UP**

- All plans are realised within the projected budgets and agreed timeframes.
- Resources are accounted for, or acquitted as required.
- Records of the meetings, including lists of participants, are distributed to participants within two months.
- Required follow up reports are prepared and circulated within the projected timeframes.